

# SECRETARIAT OF THE CONFERENCE ON INTERACTION AND CONFIDENCE BUILDING MEASURES IN ASIA

# СЕКРЕТАРИАТ СОВЕЩАНИЯ ПО ВЗАИМОДЕЙСТВИЮ И МЕРАМ ДОВЕРИЯ В АЗИИ

Nº17-1/393

The Secretariat of the Conference on Interaction and Confidence Building Measures in Asia (CICA) presents its compliments to the CICA Member States and has the honour to forward the draft updated CICA Catalogue of Confidence Building Measures as of the close of the Special Working Group meeting on 22 June 2021.

Enclosure: as stated, on 11 p.

The Secretariat avails itself of this opportunity to renew to the CICA Member States the assurances of its highest consideration.

Nur-Sultan, 22 June 2021



MEMBER STATES
OF THE CONFERENCE ON INTERACTION
AND CONFIDENCE BUILDING MEASURES
IN ASIA



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# СЕКРЕТАРИАТ СОВЕЩАНИЯ ПО ВЗАИМОДЕЙСТВИЮ И МЕРАМ ДОВЕРИЯ В АЗИИ

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Приложение: упомянутое, на 11 л. Секретариат Совещания по взаимодействию и мерам доверия в Азии (СВМДА) свидетельствует свое уважение государствам-членам СВМДА и имеет честь препроводить проект обновленного Каталога мер доверия СВМДА по состоянию на момент закрытия заседания Специальной рабочей группы 22 июня 2021 г.

Секретариат пользуется случаем, чтобы возобновить государствам-членам СВМДА уверения в своем весьма высоком уважении.

город Нур-Султан, 22 июня 2021 г.



ГОСУДАРСТВА-ЧЛЕНЫ СОВЕЩАНИЯ ПО ВЗАИМОДЕЙСТВИЮ И МЕРАМ ДОВЕРИЯ В АЗИИ

Қосымша: аталған 11 п. Азиядағы өзара іс-қимыл және сенім шаралары кеңесінің (АӨСШК) Хатшылығы АӨСШК мүше мемлекеттеріне өзінің зор ілтипатын білдіре отырып, 2021 жылғы 22 маусымдағы Арнайы жұмыс тобы отырысының аяқталу сәтіндегі АӨСШК сенім шаралары каталогының жаңартылған жобасын жолдауды өзіне мәртебе санайды.

Хатшылық осы мүмкіндікті пайдалана отырып, АӨСШК мүше мемлекеттеріне өзінің зор ілтипатын тағы да растайды.

Нұр-Сұлтан қаласы, 2021 жылғы 22 маусым

АЗИЯДАҒЫ ӨЗАРА ІС-ҚИМЫЛ ЖӘНЕ СЕНІМ ШАРАЛАРЫ КЕҢЕСІНІҢ МҮШЕ МЕМЛЕКЕТТЕРІ

# CICA CATALOGUE OF CONFIDENCE BUILDING MEASURES

The Member States of the Conference on Interaction and Confidence Building Measures in Asia (CICA),

With the aim of enhancing cooperation, creating and strengthening an atmosphere of peace, confidence and friendship on the Asian continent,

Acting in accordance with the principles and purposes of the UN Charter, Almaty Act and other CICA documents as well as universally recognized norms and principles of international law,

Taking into account specific situations and peculiarities of different regions of Asia,

Have adopted the updated *Catalogue of Confidence Building Measures* (*CBMs*) with following principles and measures, leading to strengthening of confidence on the Asian continent and have agreed to their realization in practice on bilateral and/or multilateral basis.

# 1. General provisions

- 1.1 The Member States recognize that the confidence building measures, on the one hand, peaceful settlement of disputes and implementation of agreements on arms control and disarmament, on the other, are complementary and may, depending upon specific situations, be pursued simultaneously by the concerned States through mutual consent.
- 1.2 The diversity in Asia underscores the importance of confidence building measures in military-political, economic, environmental, human dimensions, as well as in the area of new challenges and threats.

- 1.3 The CICA CBMs will be applied on a gradual and voluntary basis. The CICA Catalogue of Confidence Building Measures and measures identified in it are recommendatory in nature. Any Member State may select certain measures identified in this Catalogue for implementation, where feasible and appropriate. Measures selected by the Member States should be pursued in good faith and to the full extent possible.
- 1.4 Confidence building measures of the Catalogue are without prejudice to other CBMs, security agreements and/or arrangements on arms control and disarmament, to which the Member States are parties, and these measures will not affect the rights and obligations under these agreements and/or arrangements.
- 1.5 Nothing in this Catalogue prevents the Member States from adopting other confidence building measures among themselves or with other states.
- 1.6 The Member States concerned may exchange information within the context of CBMs agreed upon by them. They may also consider submitting this information to the CICA Secretariat for further dissemination.
- 1.7 The Member State receiving information under the provisions of this Catalogue cannot disclose, publish or transfer it to any third party without the consent of the Member States providing such information.
- 1.8 The Member States will regularly review implementation of this Catalogue in order to identify the most effective and suitable CBMs for wider implementation in the CICA region and, when necessary, modify or amend those CBMs by consensus.

# 2. Dimensions of cooperation

In order to facilitate the further work of the Conference, the CICA Member States considered the CBMs under the five dimensions: military-political, new challenges and threats, economic, environment and human.

## 2.1 Military-political dimension

In order to ensure lasting stability, strengthen mutual confidence in military-political dimension, while taking into account their legitimate security interests, the Member States may undertake the following measures:

- 2.1.1 Exchange of information, the scope, feasibility and modalities of which will be agreed by the Member States concerned, in accordance with their national laws, regulations and policies, on the following:
  - a) components of armed forces;
  - b) defense budgets;
- c) presence of foreign military contingents on the territories of the Member States, if release of this information is permitted by the country deploying such a contingent.
- d) notification of planned military activities, including exercises with participation of foreign military contingents, where modalities and parameters of such notification are agreed upon by the Member States concerned.
  - 2.1.2 Inviting observers from Member States to military exercises.
- 2.1.3 Considering mechanisms such as consultation on unexpected and hazardous incidents of a military nature, especially if such incidents occur in close proximity to the border areas of the Member States.
- 2.1.4 Developing the following forms of cooperation between their armed forces:
- a) mutual visits by the military authorities and representatives of defense colleges;
- b) mutual invitations for participation in national holidays, cultural and sport events;
  - c) information exchange on CV's of top military personnel;
  - d) other forms of cooperation as agreed by the Member States.
- 2.1.5 Exchange of information on the status of their accession to or ratification of multilateral instruments on arms control and disarmament as well as conventions on the outer space.

#### 2.2 New challenges and threats dimension

Implementation of CBMs of new challenges and threats dimension may be carried out under following priority areas: new challenges and threats (general); security of and in the use of information and communication technologies (ICTs); combating illicit drugs; as well as epidemiological safety, public health and pharmaceuticals.

## New challenges and threats (general)

- 2.2.1 Exchange of information on the measures taken by the Member States in combating transnational organized crime, including trafficking in persons, smuggling of migrants, money laundering [, trafficking in firearms, their parts, components and ammunition, [and, *inter alia*,]/[or] illicit trade in small arms and light weapons in all its aspects.]
- 2.2.2 Promoting law enforcement cooperation and exchange of information on legal, judicial and criminal matters.
- 2.2.3 Exchanging information, assisting in establishing and strengthening cooperation and contacts between relevant authorities of the Member States in the area of fighting the economic crimes.
- 2.2.4 Sharing information in order to deal effectively with proliferation of weapons of mass destruction and their means of delivery.

# Combating terrorism

2.2.5 Consider the adoption of cooperative measures, in accordance with their national legislation and policies, to combat terrorism in all its forms and manifestations and in preventing activities of separatist and extremist organizations and movement of terrorists with the aim of promoting security and stability of each Member State.

2.2.6 Exchanging information on the status of accession to or ratification by the Member States of the relevant international legal instruments on combating terrorism and transnational organized crime as well as the steps they have taken to promote fight against terrorism in all its forms and manifestations and financing of terrorism in accordance with the UN Charter and international law.

## Security of and in the use of ICTs

- 2.2.7 Promoting open, secure, peaceful and cooperative ICT environment in Asia on the basis of mutual respect, strengthening of contacts and exchanges, deepening of dialogue and cooperation, fighting against threats resulting from the malicious use of ICTs.
- 2.2.8 Promoting dialogue on confidence-building, stability and risk reduction in the field of security of and in the use of ICTs among CICA Member States.
- 2.2.9 Recognizing the importance of peaceful use of ICTs by reducing misunderstanding between CICA Member States, promoting trust and confidence.
- 2.2.10 Sharing information, best practices and raising awareness[, including with regard to terminology [among interested countries without prejudice to discussions on this matter in other fora] (+CHAIR)] (+Rus) (-IND) (-ISR) in the field of security of and in the use of ICTs to address the threats stemming from the use of ICTs.
- 2.2.11 Improving cooperation to respond to the criminal use of ICTs based on an internationally agreed legal framework.

# Combating illicit drugs

2.2.12 Exchanging information on the measures the Member States have taken to curb illicit drug trafficking.

## Epidemiological safety, public health and pharmaceuticals

- 2.2.13 Sharing of experience and strengthening cooperation to cope with the emerging infectious diseases and epidemics.
- 2.2.14 Exchanging experience and cooperating in the field of epidemiological safety, public health and pharmaceuticals.

# 2.3 Economic dimension

Implementation of CBMs of economic dimension may be carried out under following priority areas: small and medium enterprises, energy security, information technology, tourism, development of secure and effective systems of transportation corridors, agriculture, finance, trade and investment.

## Small and medium enterprises (SMEs)

2.3.1 Promoting business opportunities for small and medium sized enterprises (SMEs).

# Energy security

- 2.3.2 Taking necessary steps to ensure energy security cooperation in the CICA region, including development of and enhancing efficiency and safety of the routes and security of supply of energy.
- 2.3.3 Ensuring cooperation in the field of pipeline security [and evaluating opportunities for cooperation within the Supervisory Control and Data Acquisition (SCADA)] (+Turk) (-Iran) among interested countries.

# Information technology

2.3.4 Encouraging cooperation in the field of communications and information technology including in the area of digitalization.

#### **Tourism**

- 2.3.5 Widening of cooperation in the sphere of tourism, by exchanging information and best practices in this field and encouraging contacts between public and private sector tourism organizations of the Member States.
- 2.3.6 Exchange of information on their national authorities responsible for development of international tourism and tourist infrastructure and assistance in establishing and strengthening contacts between these authorities.

# Development of secure and effective systems of transportation corridors

- 2.3.7 Cooperation to promote various modes of transport linkages and connectivity.
- 2.3.8 Cooperation for the development of secure and effective systems of transportation corridors.

# **Agriculture**

2.3.9 Sharing experience and cooperating in the field of agricultural development.

#### **Finance**

- 2.3.10 Widening of cooperation among financial sectors.
- 2.3.11 Strengthening cooperation in exchanging information including suspicious financial transactions based on national legislation of Member States and international standards and through effective use of existing mechanisms to the extent possible and in sharing experience between financial intelligence units of the Member States in the area of fighting against money laundering, financing of terrorism and proliferation of weapons of mass destruction.

#### Trade and Investment

- 2.3.12 Visa facilitation including expeditious business visas in order to enhance trade and investment relations among the Member States.
  - 2.3.13 Expanding investment opportunities and cooperation.
- 2.3.14 Establishing a common database and information exchange system on trade and economic areas.

# 2.4 Environment dimension

Implementation of CBMs of environment dimension may be carried out under following priority areas: sustainable development, natural disaster management and environment protection.

# Sustainable development

2.4.1 Sharing information on best practices concerning sustainable development.

# Natural disaster management

- 2.4.2 Developing guidelines for cooperation in the fields of disaster management systems, national monitoring of environmental and disaster situations, development of early warning systems, holding joint trainings and search-rescue operations.
- 2.4.3 Exchange of information on natural and industrial disasters on their territories which in their view may affect their neighbors.
- 2.4.4 Facilitating the establishment and strengthening of contacts between Member States' authorities responsible for disaster management, search-rescue operations. Where necessary, the Member States will identify a coordination body, authorized to organize interaction of disaster management and rescue services, and creation of a system of assistance in case of natural disasters and emergency situations.

### **Environment protection**

- 2.4.5 Sharing information of best practices concerning national policies on environmental protection.
- 2.4.6 Development and application of common programs on environment protection without prejudice to the Member States' rights and obligations under relevant bilateral and multilateral instruments.

# 2.5 Human dimension

Implementation of following CBMs may be carried out under human dimension:

- 2.5.8 Promotion of dialogue among civilizations, including dialogue among religions and cultures.
- [2.5.1 Promotion of the United Nations Alliance of Civilizations [as well as participation in and contribution to its goals, principles, and related activities]. (-CHAIR)] (+Turk) (-Iran)
- 2.5.2 Encouragement of national information agencies and mass-media for the promotion of CBMs in all agreed dimensions of CICA.
- 2.5.3 Elaboration and implementation of joint projects, aimed at disseminating information on culture and traditions of their peoples, promoting mutual respect and good-neighborly relations in order to facilitate people-to-people contacts.
- 2.5.4 Promoting cultural exchange and cooperation through cultural activities and promotion of awareness and dissemination of information on peoples and different cultures in the CICA area with a view to encouraging tolerance and understanding, taking into consideration the protection against cultural appropriation.

- 2.5.5 Promoting people-to-people contacts including exchanges among scientific, educational and sports institutions, as well as non-governmental organizations; and developing projects concerning, inter alia, scientific cooperation, exchange of students and joint events.
- 2.5.6 Organization of joint archeological expeditions for unearthing the common cultural heritage.
  - 2.5.7 Promotion of respect for fundamental rights and freedoms.
- 2.5.9 Promoting cooperation, including through exchange of information and best practice and project activities, in the field of human rights, in particular human rights education, with a view of strengthening democracy, rule of law, civil society, human rights institutions, as well as empowering of women and girls.
- 2.5.10 Development and implementation of projects aimed at innovative development of education and science.

# 3. Modalities for implementation of CBMs in aforementioned priority areas

CICA Member States may choose to implement the CBMs from the aforementioned priority areas bilaterally or multilaterally on a voluntary basis in the following manner:

A. In the first modality, the Member States may wish to express their interest in implementation of a specific priority area. Thereafter the CICA Chairman may consult those Member States on appointment of coordinating country/countries and request the designated country/countries to prepare a draft Concept Paper. That paper may be distributed through the Secretariat among the Member States prior to any specialized meeting and be considered during SWG and if agreed, be submitted to SOC for further approval.

- B. In the second modality,
- CICA Member States may wish to exchange views on a particular priority area via CICA Chairman and Secretariat as a first step,
- CICA Chairman and Secretariat compile the information provided by the Member States and establish a database.
- The Member States may periodically nominate experts and academicians for specific CICA priority area.
- CICA Secretariat shall provide a logistical support for organizing specialized meetings in the Member or Observer States with the participation of experts and academicians to address the core of the issues and tasking the eligible experts to produce a working paper.
- Working paper should be considered in the SWG and later in the SOC for further elaboration and decision.

Nur-Sultan,	2021